

**eKNOWLEDGE ABOUT
SUBSTANTIVE PATENT LAW (SPL) PRECEDENTS
– TRAIL BLAZER INTO THE INNOVATION AGE –**

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I. SPL ... AND ITS ROLE FOR FINANCING R&D ...

- Substantive Patent Law (SPL) deals with novelty, nonobviousness, clarity/definiteness, usefulness/technicity of an invention by only 4-7 §§ of any National Patent Law, in the
 - US basically 35 USC §§ 101/102/103/112,
 - EU basically EPC §§ 52-57, 69,
 - C, J,
- An invention's SPL test is the simplest precise problem existing.
- Hunter/Farmer, manufacturing, industrial age – innovation age?
- Cost of generating a new transportation technology: ≥ 5 B€!
- Cost of generating a single life science drug: 0.1-5 B€!
- Where from comes the money in the US, EU, J, C, B, ...
- A society's investment into R&D is an "early productivity indicator" of this society – its protection by SPL hence indispensable!
- Innovation biz still in "Manufacturing Age"; "Industry Age" ahead!

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II. eKNOWLEDGE ABOUT SPL PRECEDENTS: TRAIL BLAZER ...

- But: Future of patent law is unclear in EU as well in US. Also in C?
- Also: Adapting patent law to technical development is too slow in EU, also in US (in spite of AIA, causing problems). How about C?
- Adapting SPL precedents seems to work in the US due to its two central Highest Courts, now copied by C. How about the EU????
- European refusal to foster inventivity as trail blazer of wealth:
 - No Grace Period – sending academic inventors to the US,
 - No open ended Patent Application Continuations – the same,
 - No Fast Track and No Examiner Interviews,
 - Strange misjudgment of needs of globalization,
 - Absurd discussion about "technicity" limitation,
 - Hysteric reservations as to genetics research and technologies.
 - Ignorance of raging economical competition in innovativity.

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III. SPL FOR EMERGING TECHNOLOGIES INVENTIONS

- Originally: Patents based on allegedly inventive devices submitted.
- Thereafter until today: Patents based on specifications of alleged inventions.
- But: With emerging technologies patents ought to be granted only based on their clear "usefulness" and "inventivity", the dominating reasons being:
 - emerging technologies – only these are lucrative for us – are all model based, as started in IT, went on in telecommunications, and now is ubiquitous in business/DNA/nano/life/green technologies,
 - the models being "intuitionless", thus needing higher preciseness, also for not being preemptive and thus compromising the patent system, and
 - unavoidable ethical reservations require political discussions.
- Increased scientific rationality of SPL caters for emerging technologies needs.
- In the US, the Supreme Court and the Court of Appeals of the Federal Circuit, CAFC move this way, whereby new notions introduced by the Supreme Court's precedents, e.g.: "inventive concepts", "abstract ideas", and "preemptive", caused clashes in the CAFC – parts of it practicing parts of them by rationales showing uncertainties about the requirements the Supreme Court stated by them.

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IV. KNOWLEDGE KINDS AND KKR_s/KR_s IN PATENT BUSINESS

Patent eKnowledge is the key blue print of any precise eKnowledge in any business area – such as medicine, education, industry, transportation, security, show biz, And: It is **FOL + FINITE!!!**

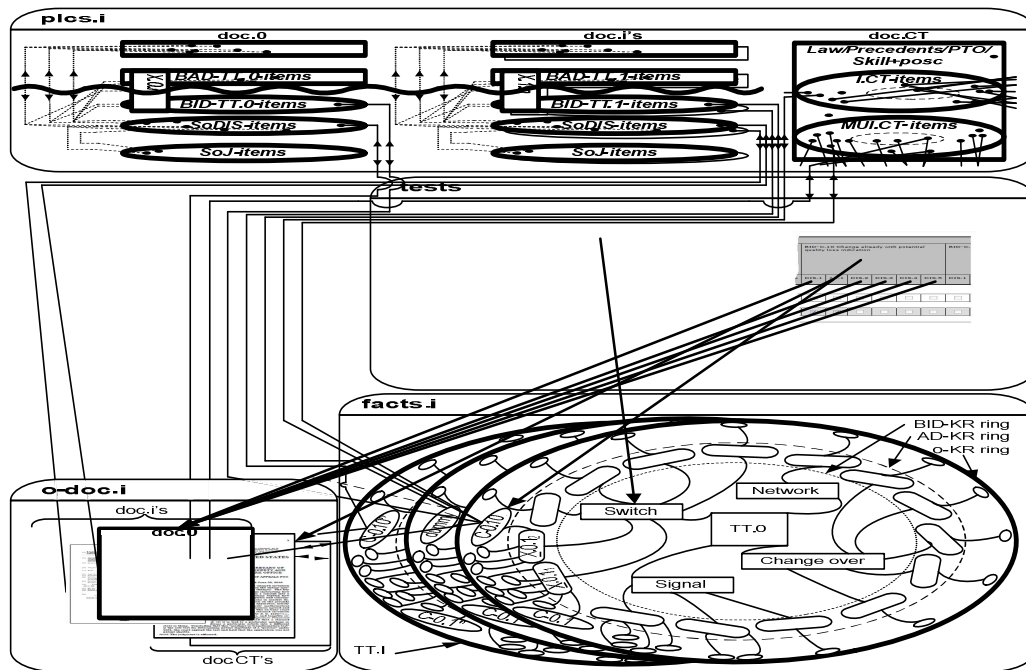
- Knowledge kinds, KKs, in patent business:
 - Legal kinds – Nat./Internat. patent and other laws, PTOs' and other bodies' directives, corporate/market rules, ..., mostly case independent.
 - Technical kinds – patent at issue, prior art, marketing/user/maintenance information, ..., mostly case specific.
 - Business kinds – R&D, Prosecution, Litigation, Licensing, Marketing.
- Knowledge kinds' representations, KKR_s, in patent business:
 - documentRs – in any doc.i, as known from everyday life.
 - logicRs – to be marked-up in doc.i's as identified by the inventor/posc,
 - brainRs – showing what our brains do, though we don't know how,
 - argumentRs – sequences of mixtures of the above KKR_s.
- KR_s are instantiations of KKR_s. From the above said follows: Any KR item is a “universe” of its own – **THE** issue in today's Geometry!

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V. OVERVIEW ABOUT A PATENT IES'es GUI – STRUCTURE-KR



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VI. OVERVIEW ABOUT A PATENT IES'es GUI – ARGUMENTS-KR

- test.1** The **FSTP-Test** is executed for the set \forall claim interpretations, Sol, selected in (b)/(c), comprising the steps:
- (a) It prompts the user for the claim(ed invention)'s and prior art's docs with their "**marked-up items, MUIs**";
 - (b) It prompts \forall Sol and for any Sol's \forall AD^{Sol}-X_{in}::= $\bigwedge_{1 \leq i \leq n} \text{AD-crCin}^{\text{Sol.in}} \text{ in doci-MUI's, } 0 \leq i \leq 1, 1 \leq n \leq N$;
 - (c) It prompts for the **definiteness justification of \forall compound inCs in Sol**, i.e. of \forall AD-crCin^{Sol.in};
 - (d) It prompts to disaggregate \forall AD-crCin^{Sol.in} \forall $0 \leq i \leq 1 \wedge 0 \leq n \leq N$ into $\{\text{BED-crCin}^{\text{Sol.in}} | 1 \leq k^{\text{Sol.in}} \leq K^{\text{Sol.in}}\}$:
 $\text{AD-crCin}^{\text{Sol.in}} = \bigwedge_{1 \leq k^{\text{Sol.in}} \leq K^{\text{Sol.in}}} \text{BED-crCin}^{\text{Sol.in}} \wedge \text{BED-crCin}^{\text{Sol.in}} \neq \text{BED-crCin}^{\text{Sol.in}'} \forall k^{\text{Sol.in}} \neq k^{\text{Sol.in}'}$;
 - (e) It prompts for the **definiteness justification of its disaggregation in (d)**;
 - (f) It automatically sets $K^{\text{Sol}} := \sum_{1 \leq 0 \leq n \leq K^{\text{Sol}}}$, $S^{\text{Sol}} := \{\text{BED-crCin}^{\text{Sol.in}} | 1 \leq k^{\text{Sol.in}} \leq K^{\text{Sol.in}}\}$, with $K^{\text{Sol}} = \{|\text{BED-crCin}^{\text{Sol.in}} | 1 \leq k^{\text{Sol.in}} \leq K^{\text{Sol.in}}\}$;
- test.2** It prompts for justifying \forall BED-crCs in S^{Sol}: Their **lawful disclosures**;
- test.3** It prompts for justifying \forall BED-inCs in S^{Sol}: Their **definiteness under § 112.6**;
- test.4** It prompts for justifying \forall BED-inCs in S^{Sol}: Their **enablement**;
- test.5** It prompts for justifying \forall BED-inCs in S^{Sol}: Their **independence**;
- test.6** It prompts for justifying \forall BED-inCs in S^{Sol}: Their **posc-nonequivalence**:
- (a) It automatically sets if $|\text{RS}|=0$ then $\text{BED}^* \text{-inC0k} ::= \text{"dummy"}$ else performing **c-f** $\forall 1 \leq k \leq |\text{RS}|$;
 - (b) It prompts to disaggregate \forall BAD-X_{in} into $\bigwedge_{1 \leq k \leq K^n} \text{BED-inCik}^n$;
 - (c) It automatically sets $\text{BED}^* \text{-inCik}^n ::= \text{either } \text{BED-i-C0k}^n \text{ iff } \text{BED-inCik}^n = \text{BED-inC0k}^n \wedge \text{disclosed} \wedge \text{definite} \wedge \text{enabled}$, else "dummy(ikⁿ)";
 - (d) It prompts for $\text{JUS}^{\text{posc}}(\text{BED}^* \text{-inCik}^n)$.
- test.7** It prompts for justifying by NAI0 test¹⁾ on (S^{Sol}:P.0^{Sol}): TT.0 is **not an abstract idea only**;
- test.8** It prompts for justifying on \forall BED-inCs in S^{Sol}: TT.0 is **not natural phenomena solely**;
- test.9** It prompts for justifying \forall BED-inCs on (S^{Sol}:P.0^{Sol}): TT.0 is **novel and nonobvious** by NANO test²⁾ on the pair (S, if $|\text{RS}|=0$ then $\{\text{BED}^* \text{-inC0k} | 1 \leq k \leq K\}$ else $\{\text{BED}^* \text{-inCik} | 1 \leq k \leq K, 1 \leq i \leq |\text{RS}|\}$);
- test.10** It prompts for justifying \forall BED-inCs in S^{Sol}: TT.0 is **not idempotent** by NANO test³⁾ on the pair $S' \subseteq S$
- ¹⁾ The "**Not an Abstract Idea Only, NAI0**" test basically comprises 4 steps, ignoring any prior art's inventions:
- 1) It prompts to justify the specification discloses a problem, P.0^{Sol}, to be solved by the claim(ed invention) as of S^{Sol};
 - 2) It prompts to justify, using the inventive concepts of S^{Sol}, that the claimed invention solves P.0^{Sol};
 - 3) It prompts to justify that P.0^{Sol} is not solved by the claim(ed invention), if a BED-inC of S^{Sol} is removed or relaxed;
 - 4) if all verifications 1)-3) apply, then this pair <claim(ed invention), Sol> is "not an abstract idea only".
- ²⁾ The "**Novel And Not Obvious, NANO**" test basically comprises 3 steps, checking all "anticipation combinations, AC^{Sols}" of S^{Sol}:
- 1) It automatically generates the ANC^{Sol} matrix, its lines representing for any prior art document.i, i=1,2,...,I, the relations between its inventionⁱ:Sols' BED-inCs to their peers of TT.0^{Sol}, represented by its columns, whereby S^{Sol} derivable from any prior art documents' invention in Sol;
 - 2) It automatically derives from the ANC^{Sol} matrix the set of {AC^{Sols}} with the minim. number Q^{pics}:S^{Sol};
 - 3) It automatically determines and delivers <Q^{pics}:S^{Sol}, {AC^{Sols}}>, being the creativity of the pair <claim(ed invention), Sol>.

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VII. CAPABILITIES OF INNOVATION EXPERT SYSTEMS (IESes)

Increasingly powerful capabilities, explained by the following ladder, its "high end" known from science fiction, its spokes not being consecutive.

- *Graphics/Acoustic prompting* through *legal q-a*
- Graphics/Acoustic prompting through *all reasonable q-a*
- *Assessing legal correctness capability* – all being "self-catalytic systems"
- *Self-contained interactive graphics/acoustic "responsitivity"*
- *Realtime* self-contained interactive graphics/acoustic responsitivity
- *Personalizable/Moderatable* realtime self-contained interactive graphics/acoustic responsitivity
- *In-/Extrinsic user-counseling* in realtime self-contained graphics/acoustic interactive responsitivity = self-inflammable self-catalytic system = **HAL**

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VIII. KR ORIENTED FUNCTIONS OF A PATENT IES

- Most IES functions are KR oriented for its "calibration", few for its "engagement" mode – working step/stream wise, also overlapping.
- Today, all the information eventually output by the IES in engagement mode is input before in calibration mode by an IES user – i.e., is already marked-up/linked or marked-up and linked during calibration by a user,
- In a Patent IES all the invention independent information should already carry its "mark-up information, MUIs". MUIs to be provided by the inventor/posc are vastly stereotypic – once the invention's inventive concepts are identified – as then the FSTP-Test [URL see below] prompts the user through the complete check whether it satisfies SPL.
- Perspective for “FFOL problems”: Adapted FSTP-Tests may check “any document for its satisfying any directive” – e.g. a new drug specification for satisfying a FDA directive, not just a patent’s invention the SPL.

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